

01/18/02
JC882 U.S. PTO

1-23-02

A

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC889 U.S. PTO
10/053313
01/18/02

In re application of:
Alex Battaglia, *et al*

) Examiner: Not Yet Assigned

)

) Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

)

) TRANSMITTAL

Filed: January 18, 2002

)

) NEW NONPROVISIONAL
) PATENT APPLICATION

For: Gum Resin as a Carrier for Topical
Application of Pharmacologically Active
Agents

)

EL 923476835US

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Transmitted herewith for filing is a utility patent application.
 - a. Inventor: Alex Battaglia
 - b. Title of application: **Gum Resin as a Carrier for Topical Application of Pharmacologically Active Agents.**
 - c. Attorney docket number: ALBA.001.01US
2. Also enclosed are:

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 923476835 US

Date of Deposit: January 18, 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22203.

(Signature) *D.H. Walker*

(Printed Name) D. H. WALKER

2002-01-18

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

- ☐ ___ sheets of ☐ informal ☐ formal drawings(s).
- ☒ An ☐ executed ☒ unexecuted
- ☒ Declaration and Power of Attorney.
- ☐ Inventors' Declaration.
- ☐ Power of Attorney by Assignee.
- ☐ An Assignment of the invention to and an Assignment Recordation cover sheet.
- ☒ Applicant claims small entity status under 37 C.F.R. §1.27.
- ☐ Certified copy(ies) of ___ application number(s) ___ filed ___.
- ☐ An Information Disclosure Statement.
- ☐ A Preliminary Amendment.
- ☐ A sequence listing.
- ☐ Paper copy.
- ☐ Computer readable copy.
- ☐ Statement in Compliance with Requirements for Patent Applications
Containing Nucleotide and/or Amino Acid Sequence.
- ☐ A Petition for Expedited Foreign Filing License.
- ☐ A Declaration of Availability under MPEP 608.01(p)C.
- ☐ An Associate Power of Attorney.
- ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Form PTO/SB/35 or
its equivalent is attached.
- ☒ Return postcard (postage prepaid).

3. This application is a:

- ☐ Continuation of prior application No.
- ☐ Divisional of prior application No.
- ☐ Continuation-in-part (CIP) of prior application No.
- ☒ Claims benefit of priority to Provisional Application No. 60/299,377

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

4. The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
			<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
Basic Fee			\$370	\$370	\$740	\$
Total Claims	31	11	\$9	\$99	\$18	\$
Indep Claims	3	0	\$42	\$	\$84	\$
[X] Multiple Dependent Claims			\$140	\$140	\$280	\$
Total Filing Fee:				\$609		\$

Other Fees

<input type="checkbox"/>	Assignment Recording Fee	
	___ Assignments @ \$40.00 each	\$
<input type="checkbox"/>	Petition for Expedited Foreign	
<input type="checkbox"/>	Filing License\$130.00	\$
<input type="checkbox"/>	Other fees (list individually)	
	(none)	
Total Other Fees:		\$

TOTAL FEES: \$609.00

- ☐ A check including the amount of the above indicated TOTAL FEES is attached.
- ☐ Please charge Deposit account No. 18-0020 the amount of \$.
- ☒ Filing fee is deferred. Please award a filing date and serial number based upon the enclosed specification (and any drawings), under 35 U.S.C. § 111.
- ☐ No fee is required.

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☐ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020:
- ☐ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A **duplicate** copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated:

January 18, 2012



Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

Rae-Venter Law Group, P.C.
P. O. Box 60039
Palo Alto, CA 94306-0039
Telephone: (650) 328-4400
Facsimile: (650) 328-4477

BRV/dhw

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Alex Battaglia, et al.**

Serial No.: Not Yet Assigned

Filed: January 18, 2002

For: **Gum Resin as a Carrier for Topical
Application of Pharmacologically Active Agents**

)
) Examiner: Not Yet Assigned
)
) Art Unit: Not Yet Assigned
)
) **NONPUBLICATION REQUEST**
) **UNDER 35 U.S.C. 122 (b)(2)(B)(i)**
)

Assistant Commissioner for Patents
Washington, D.C. 20231

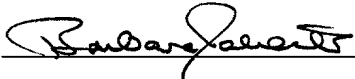
Sir:

Applicant certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicant requests that the attached application not be published under 35 U.S.C. 122 (b)(2)(B)(i).

Respectfully submitted,

Date: January 18, 2002


Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

Rae-Venter Law Group, P.C.
P.O. Box 60039
Palo Alto, CA 94306
Telephone: (650) 328-4400
Facsimile: (650) 328-4477
BRV/dhw

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No EL 923476835 US

Date of Deposit January 18, 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202

(Signature) 

(Printed Name) D.H. WALKER